



## **Fiscal Year 2008 Funding Process Region 4 Special Appropriations Projects May 2007**

Since 1995, EPA Region 4 has been tasked with the management of over 788 Special Appropriations Projects. Over the years, the Region has awarded 459 projects of which 192 have been constructed and closed. Currently the workload consists of 267 active construction projects. Although the Region has been awarding grants at a steady rate of about 60 projects per year, the number of appropriated projects has continued to rise such that we currently have a backlog of 329 projects yet to be awarded. With personnel limits imposed on the Region by Congress, the accountability requirements of the Region for environmental results as identified in EPA's Strategic Plan, and the accountability of proper grants management, the Region has identified the need to implement a funding strategy to best deal with the backlog of Special Appropriations Projects.

The Region's intent in developing the Funding Process is to fund projects in the order of greatest benefit to the public health and the environment within the context of EPA's strategic goals and manage those projects efficiently with limited project officer resources. Projects in designated priority and tier 3 watersheds, the Mississippi River drainage basin, and those with significant public health consequences will be given preference over other projects.

Special Appropriations Projects will be reviewed in accordance with Region 4's Funding Process and will be funded based upon each project's readiness to proceed and the availability of EPA project officer resources as defined in EPA's project officer workload model. The term "readiness to proceed" means that all grant application requirements established by EPA are met, and all documents necessary for grant award are approved.

Those projects that requested funding in fiscal year 2007 and were ready to proceed during fiscal year 2007, but were not funded due to the lack of project officer resources, will be given first priority for funding in FY 2008 and do not need to resubmit a request for funding. All other projects must request funding for fiscal year 2008 to be considered.

Those projects that request funding in fiscal year 2008 will be placed on the funding list if they meet one of the following criteria:

1. have significant public health need,
2. are continuation projects that have supplemental funding,
3. are located within a designated priority or tier 3 watershed,
4. are located within the Mississippi River drainage basin,

5. have appropriated funds subject to rescission based on Congressionally imposed constraints.

Projects on the funding list will be awarded based on readiness to proceed. If a project cannot reasonably be expected to meet the funding deadlines, or does not meet the criteria above, the project will not be placed on the current year funding list, but rather will be placed on the pending list.

The watersheds within Region 4 which have been designated as priority and tier 3 watersheds are listed below:

<b>ST</b>	<b>NAME</b>	<b>HUC</b>
AL	UPPER CHOCTAWHATCHEE	03140201
AL	PEA	03140202
AL	LOWER CHOCTAWHATCHEE	03140203
AL	UPPER TALLAPOOSA	03150108
AL	MIDDLE TALLAPOOSA	03150109
AL	LOWER TALLAPOOSA	03150110
AL	LOWER ALABAMA	03150204
AL	MULBERRY	03160109
AL	MOBILE-TENSAW	03160204
AL	MOBILE BAY	03160205
AL	ESCATAWPA	03170008
AL	MISSISSIPPI COASTAL	03170009
AL	WHEELER LAKE	06030002
FL	UPPER ST. JOHNS	03080101
FL	OKLAWAHA	03080102
FL	LOWER ST. JOHNS	03080103
FL	CAPE CANAVERAL	03080202
FL	VERO BEACH	03080203
FL	KISSIMMEE	03090101
FL	NORTHERN OKEECHOBEE INFLOW	03090102
FL	WESTERN OKEECHOBEE INFLOW	03090103
FL	LAKE OKEECHOBEE	03090201
FL	EVERGLADES	03090202
FL	BIG CYPRESS SWAMP	03090204
FL	CALOOSA HATCHEE	03090205
FL	PEACE	03100101
FL	MYAKKA	03100102
FL	CHARLOTTE HARBOR	03100103
FL	SARASOTA BAY	03100201
FL	MANATEE	03100202
FL	LITTLE MANATEE	03100203
FL	ALAFIA	03100204
FL	HILLSBOROUGH	03100205
FL	TAMPA	03100206
FL	CRYSTAL-PIT LACHASCOTEE	03100207
FL	UPPER SUWANNEE	03110201
FL	WITHLACOCHEE	03110203
FL	LOWER SUWANNEE	03110205
FL	LOWER OCHLOCKONEE	03120003

FL	CHOCTAWHATCHEE BAY	03140102
FL	YELLOW	03140103
FL	PENSACOLA BAY	03140105
FL	PERDIDO	03140106
FL	PERDIDO BAY	03140107
FL	PEA	03140202
FL	LOWER CHOCTAWHATCHEE	03140203
FL	ESCAMBIA	03140305
GA	SATILLA	03070201
GA	LITTLE SATILLA	03070202
GA	AUCILLA	03110103
GA	ALAPAHA	03110202
GA	WITHLACOOCHIE	03110203
GA	LITTLE	03110204
GA	APALACHEE BAY-ST. MARKS	03120001
GA	UPPER OCHLOCKONEE	03120002
GA	LOWER OCHLOCKONEE	03120003
GA	UPPER CHATTAHOOCHEE	03130001
GA	SPRING	03130010
GA	CONASAUGA	03150101
GA	COOSAWATTEE	03150102
GA	OOSTANAULA	03150103
GA	ETOWAH	03150104
GA	UPPER COOSA	03150105
KY	LICKING	05100101
KY	SOUTH FORK LICKING	05100102
KY	LOWER KENTUCKY	05100205
KY	UPPER CUMBERLAND	05130101
KY	UPPER CUMBERLAND-LAKE CUMBERLAND	05130103
KY	SOUTH FORK CUMBERLAND	05130104
KY	OBEY	05130105
KY	LOWER CUMBERLAND	05130205
KY	RED	05130206
KY	LOWER TENNESSEE	06040006
MS	UPPER TOMBIGBEE	03160101
MS	BUTTAHATCHEE	03160103
MS	UPPER LEAF	03170004
MS	PASCAGOULA	03170006
MS	ESCATAWPA	03170008
MS	MISSISSIPPI COASTAL	03170009
MS	MIDDLE PEARL-STRONG	03180002
MS	PICKWICK LAKE	06030005
MS	DEER-STEELE	08030209
NC	LOWER ROANOKE	03010107
NC	NOTTOWAY	03010201
NC	BLACKWATER	03010202
NC	CHOWAN	03010203
NC	MEHERRIN	03010204
NC	ALBEMARLE	03010205
NC	UPPER TAR	03020101

NC	FISHING	03020102
NC	LOWER TAR	03020103
NC	PAMLICO	03020104
NC	PAMLICO SOUND	03020105
NC	BOGUE-CORE SOUNDS	03020106
NC	UPPER NEUSE	03020201
NC	MIDDLE NEUSE	03020202
NC	CONTENTNEA	03020203
NC	LOWER NEUSE	03020204
NC	NEW	03030001
NC	HAW	03030002
NC	DEEP	03030003
NC	UPPER CAPE FEAR	03030004
NC	LOWER CAPE FEAR	03030005
NC	BLACK	03030006
NC	NORTHEAST CAPE FEAR	03030007
NC	UPPER CATAWBA	03050101
NC	UPPER FRENCH BROAD	06010105
NC	PIGEON	06010106
NC	TUCKASEGEE	06010203
SC	SALUDA	03050109
SC	EDISTO	03050205
SC	MIDDLE SAVANNAH	03060106
TN	UPPER CUMBERLAND	05130101
TN	SOUTH FORK CUMBERLAND	05130104
TN	OBEY	05130105
TN	UPPER CUMBERLAND-CORDELL HULL	05130106
TN	COLLINS	05130107
TN	CANEY	05130108
TN	LOWER CUMBERLAND-OLD HICKORY LAKE	05130201
TN	LOWER CUMBERLAND-SYCAMORE	05130202
TN	STONES	05130203
TN	HARPETH	05130204
TN	LOWER CUMBERLAND	05130205
TN	RED	05130206
TN	WATTS BAR LAKE	06010201
TN	LOWER CLINCH	06010207
TN	EMORY	06010208
TN	HIWASSEE	06020002
TN	UPPER ELK	06030003
TN	LOWER ELK	06030004
TN	UPPER DUCK	06040002
TN	LOOSAHATCHIE	08010209

The Mississippi Drainage Basin Watersheds are listed below:

Mississippi Drainage Basin Watersheds			
BASIN	SUBBASIN	HUC	STATE
Big Black - Homochitto	Bayou Pierre	08060203	LA MS
Big Black - Homochitto	Buffalo	08060206	MS
Big Black - Homochitto	Coles Creek	08060204	LA MS
Big Black - Homochitto	Lower Big Black	08060202	LA MS
Big Black - Homochitto	Upper Big Black	08060201	MS
Big Black - Homochitto	Homochitto	08060205	MS
Big Sandy	Upper Levisa	05070202	KY VA WV
Big Sandy	Big Sandy	05070204	KY WV
Big Sandy	Tug	05070201	KY VA WV
Big Sandy	Lower Levisa	05070203	KY WV
French Broad-Holston	Upper French Broad	06010105	NC SC TN
French Broad-Holston	Lower French Broad	06010107	NC TN
French Broad-Holston	Holston	06010104	TN
French Broad-Holston	Watauga, North Carolina, Tennessee	06010103	NC TN
French Broad-Holston	South Fork Holston	06010102	NC TN VA
French Broad-Holston	North Fork Holston	06010101	TN VA
French Broad-Holston	Nolichucky	06010108	NC TN
French Broad-Holston	Pigeon	06010106	NC TN
Green	Middle Green	05110003	KY
Green	Upper Green	05110001	KY
Green	Lower Green	05110005	KY
Green	Rough	05110004	KY
Green	Barren	05110002	KY TN
Green	Pond	05110006	KY
Hatchie-Obion	Wolf	08010210	MS TN
Hatchie-Obion	South Fork Obion	08010203	TN
Hatchie-Obion	Loosahatchie	08010209	TN
Hatchie-Obion	Upper Hatchie	08010207	MS TN
Hatchie-Obion	Bayou De Chien-Mayfield	08010201	KY TN
Hatchie-Obion	Lower Hatchie	08010208	MS TN
Hatchie-Obion	Forked Deer	08010206	TN
Hatchie-Obion	North Fork Forked Deer	08010204	TN
Hatchie-Obion	Horn Lake-Nonconnah	08010211	MS TN
Hatchie-Obion	South Fork Forked Deer	08010205	TN

Mississippi Drainage Basin Watersheds			
BASIN	SUBBASIN	HUC	STATE
Hatchie-Obion	Obion	08010202	KY TN
Kanawha	Upper New	05050001	NC TN VA
Kentucky	Middle Fork Kentucky	05100202	KY
Kentucky	North Fork Kentucky	05100201	KY VA
Kentucky	Lower Kentucky	05100205	KY
Kentucky	Upper Kentucky	05100204	KY
Kentucky	South Fork Kentucky	05100203	KY
Lake Maurepas	Amite	08070202	LA MS
Lake Maurepas	Tangipahoa	08070205	LA MS
Lake Maurepas	Tickpaw	08070203	LA MS
Lake Maurepas	Bayou Sara-Thompson	08070201	LA MS
Licking	Licking	05100101	KY
Licking	South Fork Licking	05100102	KY
Lower Cumberland	Lower Cumberland-Old Hickory Lake	05130201	TN
Lower Cumberland	Stones	05130203	TN
Lower Cumberland	Lower Cumberland-Sycamore	05130202	TN
Lower Cumberland	Red	05130206	KY TN
Lower Cumberland	Harpeth	05130204	TN
Lower Cumberland	Lower Cumberland	05130205	KY TN
Lower Mississippi	Lower Mississippi-Helena	08020100	AR MS
Lower Mississippi-Greenville	Lower Mississippi-Greenville	08030100	AR LA MS
Lower Mississippi-Memphis	Lower Mississippi-Memphis	08010100	AR IL KY MS MO TN
Lower Mississippi-Natchez	Lower Mississippi-Natchez	08060100	LA MS
Lower Ohio	Lower Ohio	05140206	IL KY
Lower Ohio	Tradewater	05140205	KY
Lower Ohio	Highland-Pigeon	05140202	IN KY
Lower Ohio	Lower Ohio-Little Pigeon	05140201	IN KY
Lower Ohio	Lower Ohio-Bay	05140203	IL IN KY
Lower Ohio-Salt	Silver-Little Kentucky	05140101	IN KY
Lower Ohio-Salt	Salt	05140102	KY

Mississippi Drainage Basin Watersheds			
BASIN	SUBBASIN	HUC	STATE
Lower Ohio-Salt	Blue-Sinking	05140104	IN KY
Lower Ohio-Salt	Rolling Fork	05140103	KY
Lower Tennessee	Lower Tennessee-Beech	06040001	MS TN
Lower Tennessee	Lower Duck	06040003	TN
Lower Tennessee	Kentucky Lake	06040005	KY TN
Lower Tennessee	Buffalo	06040004	TN
Lower Tennessee	Lower Tennessee	06040006	KY TN
Lower Tennessee	Upper Duck	06040002	TN
Middle Ohio-Little Miami	Ohio Brush-Whiteoak	05090201	KY OH
Middle Ohio-Little Miami	Midle Ohio-Laughery	05090203	IN KY OH
Middle Ohio-Raccoon	Little Sandy	05090104	KY
Middle Ohio-Raccoon	Little Scioto-Tygarts	05090103	KY OH WV
Middle Tennessee-Elk	Guntersville Lake	06030001	AL GA TN
Middle Tennessee-Elk	Bear	06030006	AL MS
Middle Tennessee-Elk	Lower Elk	06030004	AL TN
Middle Tennessee-Elk	Upper Elk	06030003	TN
Middle Tennessee-Elk	Pickwick Lake	06030005	AL MS TN
Middle Tennessee-Elk	Wheeler Lake	06030002	AL TN
Middle Tennessee-Hiwassee	Ocoee	06020003	GA NC TN
Middle Tennessee-Hiwassee	Middle Tennessee-Chickamauga	06020001	AL GA TN
Middle Tennessee-Hiwassee	Sequatchie	06020004	TN
Middle Tennessee-Hiwassee	Hiwassee	06020002	GA NC TN
Upper Cumberland	South Fork Cumberland	05130104	KY TN
Upper Cumberland	Rockcastle	05130102	KY
Upper Cumberland	Caney	05130108	TN
Upper Cumberland	Collins	05130107	TN
Upper Cumberland	Upper Cumberland	05130101	KY TN VA
Upper Cumberland	Upper Cumberland-Lake Cumberland	05130103	KY TN
Upper Cumberland	Upper Cumberland-Cordell Hull Reservoir	05130106	TN

Mississippi Drainage Basin Watersheds			
BASIN	SUBBASIN	HUC	STATE
Upper Cumberland	Obey	05130105	KY TN
Upper Tennessee	Lower Little Tennessee	06010204	NC TN
Upper Tennessee	Upper Clinch, Tennessee, Virginia	06010205	TN VA WV
Upper Tennessee	Emory	06010208	TN
Upper Tennessee	Powell	06010206	KY TN VA
Upper Tennessee	Watts Bar Lake	06010201	NC TN
Upper Tennessee	Upper Little Tennessee	06010202	GA NC TN
Upper Tennessee	Powell	06010207	TN
Upper Tennessee	Tuckasegee	06010203	NC TN
Yazoo	Lower Yazoo	08030208	LA MS
Yazoo	Big Sunflower	08030207	MS
Yazoo	Coldwater	08030204	MS TN
Yazoo	Yalobusha	08030205	MS
Yazoo	Upper Yazoo	08030206	MS
Yazoo	Little Tallahatchie	08030201	MS
Yazoo	Tallahatchie	08030202	MS
Yazoo	Yocona	08030203	MS
Yazoo	Deer-Steele	08030209	AR LA MS

### Funding Deadlines:

**By July 2, 2007**, grantees should notify EPA of their intent to request funding in fiscal year 2008 (October 1, 2007 – September 30, 2008). Please note that the Special Appropriations funds can be used for planning, design and/or construction; therefore, at this time the grantee should designate whether they intend to request a partial grant award for planning, or a full award which will include construction. The grantee should also describe how the project will achieve environmental results in accordance with EPA's strategic plan (see Environmental Results Worksheets for example environmental results).

**By August 24, 2007**, EPA will post the funding list and the pending list at [www.epa.gov/region4/water/gtas/specialappropriations.html](http://www.epa.gov/region4/water/gtas/specialappropriations.html). EPA will also identify the projected number of projects EPA anticipates that it will be able to award in fiscal year 2007. The projected number of awards is based on the availability of EPA Project Officers to overview the projects in accordance with EPA's Project Officer workload model which limits the number of projects to 40 projects per Project Officer.

**By October 1, 2007**, EPA strongly recommends that grantees complete and submit the draft environmental information document (EID) to EPA for review or request a



categorical exclusion (CE), if appropriate. Please note that the completion of EPA's review of the submitted materials is dependent on the quality of the information and the responsiveness of the grantee to EPA comments and requests for additional information.

EPA will review and comment on the EID or CE as necessary. EPA will not initiate this review without a clear identification of the need for the project and the submittal of crosscutting concurrence letters from the U.S. Army Corps of Engineers, U.S. Fish and Wildlife Service, and the State Historic Preservation Officer.

**By March 14, 2008**, a complete grant application should be submitted to EPA. Should any project on the funding list fail to comply with this deadline, the project will be bypassed. Only grant applications with completed environmental reviews will be considered for funding. A completed environmental review means that EPA has issued a Categorical Exclusion, Finding of No Significant Impact or Environmental Impact Statement/Record of Decision.

**By March 28, 2008**, if it becomes apparent that the projects on the funding list will not meet the targeted number of grant actions by the end of the current fiscal year, projects from the pending list that are ready to proceed will be funded on a first come, first served basis.

**By September 12, 2008**, EPA will award all eligible grants for the 2008 fiscal year.

## Region 4 Funding Process Deadlines for Special Appropriations Projects (SPAPs)

